



Office of Regulatory Services

**Program Name – Office of Regulatory – Facility and Provider Regulation
Activities Impacting Budget Changes**

DRAFT

M/S/N	Description of Activity	FY09 AOB	DHR Budget FY 09 Adjusted for 3.5%	DHR Share for FY10 Adjusted for 4%	Funding Source	Business Rationale for Level of Service or Budget Change
Must Do	Facility and Provider Regulation (State Funds)	\$8,759,268	\$ 8,452,694	\$3,470,775	State	DHR share of 09 base was \$3,615,391 less 4% cut of \$144,616 = FY 10 adjusted
	(Director's Office) Admin Vacancies		(\$94,074)		State	FY 09 Cut 2 Admin Position responsibilities assumed by other positions
	Eliminate 3 vacant surveyor positions FY 09		(\$205,843)		State	Responsibilities assumed by other positions
	Travel		(\$6,657)		State	Travel cost associated with eliminated positions
Transfer	SB433 survey activities to DCH (projected)			(\$4,938,122)	State	DCH share of 09 base was \$5,143,877 less 4% cut of \$205,755 = FY 10 transfer to DCH

	Total Program Cost					
	State	\$8,759,268	\$ 8,452,694	\$3,470,775		
	Transferred (in/out) State Funds					
	Federal	\$7,585,002	\$7,585,002	\$1,745,421		
	Other	\$70,000	\$70,000	\$35,000		
	Total Funding for Program	\$16,414,270		\$5, 251,196		

Office of Regulatory Services

ORS Budget

**Program Name – Office of Regulatory – Facility and Provider Regulation
Activities Impacting Budget Changes**

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	Other	\$70,000	\$70,000	\$35,000		
	Total Funding for Program	\$16,414,270		\$5,251,196		

A M/S/N	B Description of Activity	F		G		H		I		J		K		L		M		N Explanation
		Actual FY08		AOB	FY09	Adj for 3.5 % FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts							
Must Do	Issue or deny licenses	\$ 82,158		\$ 82,236		\$ 82,236	\$ 66,773	\$ 62,178		Federal / State / Match		1.33						Actual FY 08 vs values based on 7/10 BCM report
Must Do	Surveillance for compliance for all types of providers (includes Kenny A)	\$ 4,945,425		\$ 4,884,377		\$ 4,577,803	\$ 3,904,036	\$ 3,525,027		Federal / State / Match		55						Eliminate 3 vacant surveyor positions FY09 and travel cost associated with eliminated positions
Must Do	Enforcement Activities	\$ 127,858		\$ 132,996		\$ 132,996	\$ 143,915	\$ 139,321		Federal / State / Match		2.33						
Must Do	Process Open Records requests	\$ 201,150		\$ 199,393		\$ 199,393	\$ 102,852	\$ 102,852		Federal / State / Match		2						
Must Do	Establish rules for new types of providers, revise existing regs as laws change, grant waivers	\$ 59,327		\$ 64,043		\$ 64,043	\$ 66,772	\$ 62,178		Federal / State / Match		1.33						Reduction eliminates Rules Coordinator brought from Provider Direction and Support in FY 2009
Must Do	Intake and triage of complaints/incidents	\$ 489,875		\$ 556,393		\$ 556,393	\$ 427,484	\$ 377,485		Federal / State / Match		6						
Must Do	Management, Direction, and Accountability	\$ 744,193		\$ 731,820		\$ 731,820	\$ 774,367	\$ 589,495		Federal / State / Match		6						
Must Do	Transfer to DCH	\$ -		\$ 5,143,877		\$ 5,143,877	\$ (4,938,122)	\$ -		Federal / State / Match		0						To be determined. 9 programs transferred to DCH 60-70% of budget
Must Do	Realignment across ORS subprograms	\$ -		\$ 4,575,437		\$ 4,575,437	\$ 35,000	\$ 35,000		Federal / State / Match		0						764559
Should Do	Improve foster home visits for Kenny A.	\$ -		\$ -		\$ -	\$ 314,653	\$ 217,326		Federal / State / Match		3						
Should Do	Build data support system and mapping capability	\$ -		\$ -		\$ -	\$ 525,000	\$ -		Federal / State / Match		0						
Should Do	Develop regulatory report cards and Provider TA	\$ 55,298		\$ 63,698		\$ 63,698	\$ 250,000	\$ 74,192		Federal / State / Match		1						
Should Do	Routine surveillance intervals of no more than 1 year for all licensure programs	\$ -		\$ -		\$ -	\$ 930,343	\$ -		Federal / State / Match		0						
Should Do	Redesign and maintain ORS website	\$ -		\$ -		\$ -	\$ 100,000	\$ -		Federal / State / Match		0						
Should Do	Educate providers and others on state regulations	\$ -		\$ -		\$ -	\$ 137,142	\$ 66,142		Federal / State / Match		1						

July 7, 2008

A	B	F		G		H	I	J	K	L	M	N
M/S/N	Description of Activity	Actual FY08	AOB FY09	Adj for 3.5% FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts	Explanation		
Should Do	Upgrade technology for paperless record retention and phone management	\$ -	\$ -	\$ -	\$ 250,000	\$ -	Federal / State / Match	0	0	0		
Should Do	Establish formal preceptor assignments for surveyor mentoring	\$ -	\$ -	\$ -	\$ 485,325	\$ -	Federal / State / Match	0	0	0		
Should Do	Establish incentives and other programs for rewarding excellent employee engagement	\$ -	\$ -	\$ -	\$ 50,000	\$ -	Federal / State / Match	0	0	0		
Nice to do	Manage employee engagement activities, e.g. customer service training, professional development	\$ -	\$ -	\$ -	\$ 20,000	\$ -	Federal / State / Match	0	0	0		
		\$ -	\$ -	\$ -	\$ -	\$ -						

Total Program Cost \$ 6,685,280 \$ 16,414,270 \$ 16,107,696 \$ 3,645,542 \$ 5,251,196 79

State Funds after cut (% of FY09 AOB) 8,452,694 3,470,775

Funding by Program	State	Federal	Other	Total Funding for Program
State	3,934,672	8,759,268	8,452,694	5,562,683
Federal	2,750,608	7,585,002	7,585,002	2,824,023
Other	-	70,000	70,000	35,000
Total Funding for Program	6,685,280	16,414,270	16,107,696	8,421,706

A	B	F	G	H	I	J	K	L	M	N
M/S/N	Description of Activity	Actual FY08	AOB FY09	Adj for 3.5 % FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts	Explanation
Must Do	Issue or deny licenses	\$ 41,958	\$ 43,698	\$ 43,698	\$ 57,583	\$ 57,583	State 63.5% Federal 17.25% Match 17.25%	1		
Must Do	Surveillance for compliance for all types of providers (includes Kenny A)	\$ 889,109	\$ 920,000	\$ 920,000	\$ 1,881,392	\$ 1,444,261	State 63.5% Federal 17.25% Match 17.25%	24		
Must Do	Enforcement Activities	\$ 97,058	\$ 107,396	\$ 107,396	\$ 134,726	\$ 134,726	State 63.5% Federal 17.25% Match 17.25%	2		
Must Do	Process Open Records requests	\$ 58,529	\$ 60,202	\$ 60,202	\$ 42,160	\$ 42,160	State 63.5% Federal 17.25% Match 17.25%	1		
Must Do	Establish rules for new types of providers, revise existing regs as laws change, grant waivers	\$ 48,529	\$ 53,500	\$ 53,500	\$ 57,583	\$ 57,583	State 63.5% Federal 17.25% Match 17.25%	1		
Must Do	Intake and triage of complaints/incidents	\$ 217,645	\$ 290,712	\$ 290,712	\$ 311,637	\$ 281,637	State 63.5% Federal 17.25% Match 17.25%	5		
Must Do	Management, Direction, and Accountability	\$ 125,587	\$ 128,094	\$ 128,094	\$ 424,247	\$ 326,247	State 63.5% Federal 17.25% Match 17.25%	3		
Must Do	Transfer to DCH						State 63.5% Federal 17.25% Match 17.25%			
Must Do	Realignment across ORS subprograms		\$ 1,183,553	\$ 1,183,553			State 63.5% Federal 17.25% Match 17.25%			\$784,558
Should Do	Improve foster home visits for Kenny A.			\$ -	\$ 314,653	\$ 217,328	State 63.5% Federal 17.25% Match 17.25%	3		
Should Do	Build data support system and mapping capability			\$ -	\$ 350,000	\$ -	State 63.5% Federal 17.25% Match 17.25%			
Should Do	Develop regulatory report cards and Provider TA	\$ 55,288	\$ 63,698	\$ 63,698	\$ 150,000	\$ 74,192	State 63.5% Federal 17.25% Match 17.25%	1		
Should Do	Routine surveillance intervals of no more than 1 year for all licensure programs			\$ -	\$ -	\$ -	State 63.5% Federal 17.25% Match 17.25%			
Should Do	Redesign and maintain ORS website			\$ -	\$ 50,000	\$ -	State 63.5% Federal 17.25% Match 17.25%			
Should Do	Educate providers and others on state regulations			\$ -	\$ 137,142	\$ 66,142	State 63.5% Federal 17.25% Match 17.25%	1		

A	B	F	G	H	I	J	K	L	M	N
M/S/N	Description of Activity	Actual FY08	AOB FY09	Adj for 3.5% FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts	Explanation
Should Do	Upgrade technology for paperless record retention and phone management			\$ -	\$ 125,000	\$ -				
Should Do	Establish formal preceptor assignments for surveyor mentoring			\$ -	\$ 157,326	\$ -				
Should Do	Establish incentives and other programs for rewarding excellent employee engagement			\$ -	\$ 25,000	\$ -				
Nice to do	Manage employee engagement activities, e.g. customer service training, professional development			\$ -	\$ 10,000	\$ -				

Total Program Cost \$ 1,533,711 \$ 2,850,853 \$ 2,850,853 \$ 4,228,450 \$ 2,681,858 42

State Funds after cut (% of FY09 AOB) - - - - -

Funding by Program

State	1,307,678	2,157,580	2,157,580	3,857,798	2,311,206
Federal	226,033	623,273	623,273	370,652	370,652
Other	-	70,000	70,000	-	-
Total Funding for Program	1,533,711	2,850,853	2,850,853	4,228,450	2,681,858

A	B	F	G	H	I	J	K	L	M	N
M/S/N	Description of Activity	Actual FY08	AOB FY09	Adj for 3.5% FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts	Explanation
Must Do	Issue or deny licenses	\$ 20,200	\$ 18,538	\$ 18,538	\$ 9,190	\$ 4,595	State 38.76% Federal 59.11% Match 2.13%	0.33		
Must Do	Surveillance for compliance for all types of providers (includes Kenny A)	\$4,056,316	\$ 3,964,377	\$ 3,657,803	\$ 2,022,644	\$ 2,080,766	State 38.76% Federal 59.11% Match 2.13%	31		Eliminate 3 vacant surveyor positions FY09 and travel cost associated with eliminated positions
Must Do	Enforcement Activities	\$ 30,798	\$ 25,600	\$ 25,600	\$ 9,189	\$ 4,595	State 38.76% Federal 59.11% Match 2.13%	0.33		
Must Do	Process Open Records requests	\$ 142,621	\$ 139,191	\$ 139,191	\$ 60,692	\$ 60,692	State 38.76% Federal 59.11% Match 2.13%	1		
Must Do	Establish rules for new types of providers, revise existing regs as laws change, grant waivers	\$ 10,798	\$ 10,543	\$ 10,543	\$ 9,189	\$ 4,595	State 38.76% Federal 59.11% Match 2.13%	0.33		Reduction eliminates Rules Coordinator brought from Provider Direction and Support in FY 2009
Must Do	Intake and triage of complaints/incidents	\$ 272,230	\$ 265,681	\$ 265,681	\$ 115,847	\$ 115,847	State 38.76% Federal 59.11% Match 2.13%	1		
Must Do	Management, Direction, and Accountability	\$ 618,606	\$ 603,726	\$ 603,726	\$ 350,120	\$ 263,248	State 38.76% Federal 59.11% Match 2.13%	3		
Must Do	Transfer to DCH		\$ 5,143,877	\$ 5,143,877	\$ (4,938,122)		State 38.76% Federal 59.11% Match 2.13%			
Must Do	Realignment across ORS subprograms		\$ 3,391,884	\$ 3,391,884	\$ 35,000	\$ 35,000	State 38.76% Federal 59.11% Match 2.13%			
Should Do	Improve foster home visits for Kenny A.			\$ -		\$ -				
Should Do	Build data support system and mapping capability			\$ -	\$ 175,000	\$ -				
Should Do	Develop regulatory report cards and Provider TA			\$ -	\$ 100,000	\$ -				
Should Do	Routine surveillance intervals of no more than 1 year for all licensure programs			\$ -	\$ 930,343	\$ -				
Should Do	Redesign and maintain ORS website			\$ -	\$ 50,000	\$ -				
Should Do	Educate providers and others on state regulations			\$ -		\$ -				

A	B	F		G		H		I		J		K	L	M	N
M/S/N	Description of Activity	Actual FY08	AOB	FY09	Adj for 3.5 % FY09	ZBB FY10	Adj for 4% FY10	Funding Source	Staffing (#FTEs)	MOE or Match Impacts	Explanation				
Should Do	Upgrade technology for paperless record retention and phone management				\$ -	\$ 125,000	\$ -								
Should Do	Establish formal preceptor assignments for surveyor mentoring				\$ -	\$ 327,999	\$ -								
Should Do	Establish incentives and other programs for rewarding excellent employee engagement				\$ -	\$ 25,000	\$ -								
Nice to do	Manage employee engagement activities, e.g. customer service training, professional development				\$ -	\$ 10,000	\$ -								

Total Program Cost \$5,151,569 \$ 13,563,417 \$ 13,256,843 \$ (582,908) \$ 2,569,338 37

State Funds after cut (% of FY09 AOB)

Funding by Program

State	2,626,994	6,601,688	6,295,114	1,704,885	1,159,569
Federal	2,524,575	6,961,729	6,961,729	2,453,371	1,374,769
Other	-	-	-	35,000	35,000
Total Funding for Program	<u>5,151,569</u>	<u>13,563,417</u>	<u>13,256,843</u>	<u>4,193,256</u>	<u>2,569,338</u>